

CITY OF LODI

COUNCIL COMMUNICATION

AGENDA TITLE: Approve year 2002 Amendments to Conflict of Interest Code for Publication and Public Comment (Government Code § 87306.5)

MEETING DATE: October 16, 2002

PREPARED BY: Deputy City Attorney

RECOMMENDATION: *Approve for Publication.*

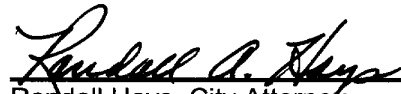
BACKGROUND: The Political Reform Act requires that each City adopt a Local Conflict of Interest Code requiring specified types of commissioners, employees and consultants¹ to disclose their economic interests, which might create a conflict of interest. Employees and commissioners must disclose their interests if they have the power to make a governmental decision or participate in making a governmental decision through research and advocacy. Consultants must disclose their interests if they actually make a governmental decision. Disclosure is to be tailored to the type of decision a person might make. For example, the Deputy City Manager has broad power to make and recommend a vast array of decisions. As such she would have to make full disclosure of all of her interests. On the other hand, a purchasing agent in information services would only disclose interests in the types of companies they contract with. In compliance with the act, Council adopted a Local Conflict of Interest Code most recently on October 15 2000 in Resolution 2002-200.

Once adopted, the Council must review a conflict of interest code every two years to ensure that it complies with the Political Reform Act. Usually this process amounts to no more than adding newly created positions to, removing abolished positions from and reflecting title changes in the Conflict of Interest Code. Staff has performed a review of the exact changes and hereby presents it to Council for review and approval for publication. Staff will return after a one-month comment period and request Council to approve the proposed amendments together with any comments received.

A copy of the proposed revised code is attached in red line strike out form. Most changes reflect newly added positions.

FUNDING: Not applicable.

Respectfully submitted,


Randall Hays, City Attorney

¹ Certain commissioners and employees such as the City Clerk, City Attorney, City Manager and Planning Commissioners are exempt from the Local Conflict of Interest Code because they already have disclosure obligations under other provisions of the Political Reform Act.

APPROVED:


H. Dixon Flynn -- City Manager

DRAFT

A RESOLUTION OF THE LODI CITY COUNCIL REPEALING
RESOLUTION NO. 98-1382000-200 THEREBY AMENDING
CITY OF LODI CONFLICT OF INTEREST CODE

The Political Reform Act of 1974 (Government Code section 81000, et seq.) requires state and local government agencies to adopt and promulgate conflict of interest codes. The Fair Political Practices Commission has adopted a regulation (2 Cal. Code of Regs. 18730) which contains the terms of a standard conflict of interest code. After public notice and a hearing it may be amended by the Fair Political Practices Commission to conform to amendments to the Political Reform Act. Therefore, the terms of 2 California Code of Regulations 18730 and any amendments to it duly adopted by the Fair Political Practices Commission are hereby incorporated by reference. This regulation and the attached appendices designating officials and employees and establishing disclosure categories, shall constitute the conflict of interest code of the City of Lodi.

Designated officials and employees shall file their statements with the City Clerk of the City of Lodi and such statements shall be open for public inspection and reproduction pursuant to Government Code section 81008. Statements for all designated officials and employees will be retained by the City of Lodi.

NOW, THEREFORE, BE IT RESOLVED AS FOLLOWS:

1. Resolution No. 98-1382000-200 is hereby repealed in its entirety.
2. The terms of 2 California Code of Regulations section 18730 and any amendments duly adopted by the Fair Political Practices Commission along with the attached Appendices in which officials and employees are designated and disclosure categories are set forth, are hereby incorporated by reference and constitute the Conflict of Interest Code of the City of Lodi.
3. Persons holding designated positions shall file statements of economic interest pursuant to the provisions of this code.
4. All designated officials and employees shall file their statements of economic interests with the City Clerk of the City of Lodi to whom the City Council hereby delegates the authority to carry out the duties of filing officer.
5. Failure to file the required statement in a timely fashion may result in the imposition of administrative, criminal and civil sanctions as provided in Government Code sections 81000-91014.
6. The effective date of this Resolution shall be ~~November 15, 2000~~October 16, 2002.

Dated: ~~November 15, 2000~~October 16, 2002

I hereby certify that Resolution No. 20002-200___ was passed and adopted by the City Council of the City of Lodi in a regular meeting held ~~November 15, 2000~~October 16, 2002 by the following vote:

AYES: COUNCIL MEMBERS – Hitchcock, Land, Nakanishi, Pennino- ~~Howard~~
and Mayor MannPennino

NOES: COUNCIL MEMBERS – None

ABSENT: COUNCIL MEMBERS – None

ABSTAIN: COUNCIL MEMBERS – None

Susan J. Blackston
City Clerk

~~2000~~2002-200__

|

APPENDIX A
DESIGNATED OFFICIALS AND EMPLOYEES

The following is a listing of those persons who are required to submit Statements of Economic Interests pursuant to the Political Reform Act of 1974, as amended:

List of designated positions required to file Form 730700:

| <u>Department:</u> | <u>Position:</u> | <u>Disclosure Category:</u> |
|---------------------------|---|--|
| City Manager | City Manager Deputy City Manager Management Analyst Admin. Assistant to City Manager Economic Development Coordinator Information Systems Manager Information Systems Coordinator Data Processing Manager Network Administrator | * 1 1 1 1 1 2,3,4,5,8,10,11,15,16 2,4,10,11,15,16 15,16 |
| City Attorney | City Attorney Deputy City Attorney | * 1 |
| City Clerk | City Clerk Deputy City Clerk | 1 1 |
| Community Center | Community Center Director Senior Services Coordinator Arts Coordinator | 1 2,3,4,10,11,16 2,3,4,10,11,16 |
| Community Development | Community Development Director City Planner Senior Planner Junior/Assistant/Associate Planner Community Improvement Manager Chief Building Inspector Building Inspector III/Senior Community Improvement Officer | 1 1 1 1 1 1 1 2,3,4,6,10,16 |
| Electric Utility | Electric Utility Director Senior Electric Utility Rate Analyst Manager, Customer Programs Manager, Electric Services Manager, Business Planning & Marketing Manager, Engineering & Operations Electric Utility Superintendent Technical Services Manager Manager, Rates & Resources Manager, Power & Rates | 1 1 1 1 1 1 2,3,4,6,7,8,9,10,15,16 2,3,4,6,7,8,9,10,15,16 1 1 |

| | | |
|----------------------|--|---|
| Finance | Finance Director Accounting Manager Accountant III Purchasing Officer Revenue Manager Senior Storekeeper\Buyer Buyer Purchasing Technician | * 2,3,4,5,13,18 2,3,4,5,15 2,3,4,5,6,10,11,12,15,16,4 19 20 2,3,4,5,13,18 2,3,10,12,16 4,5,15,16 |
| Fire | Fire Chief Fire Division Chief \ Operations Fire Division Chief \ Training Fire Battalion Chief Fire Marshall Fire Inspector | 1 2,3,4,6,10,11,12,14,19 2,3,4,6,10,11,12,14,19 2,3,4,6,10,11,12,14,19 1 1 |
| Human Resources | Human Resources Director Senior Human Resources Analyst Management Analyst Trainee | 1 2,4,14,17,18 2,4,14,17,18 |
| Library | Library Services Director Supervising Librarian | 1 4,5,11,15 |
| Parks and Recreation | Parks and Recreation Director Parks Superintendent Project Coordinator Management Analyst Trainee | 1 1 2,3,4,6,7,8,10,16 4,5,10,11,15 |
| Police | Police Chief Police Captain Management Analyst | 1 1 4,5,12 |
| Public Works | Public Works Director City Engineer Senior Civil Engineer Fleet & Facilities Manager Street Superintendent Streets Contracts Administrator Water\Wastewater Superintendent Assistant Water\Wastewater Superintendent Transportation Manager Fleet Services Supervisor Tree Operations Supervisor Management Analyst Senior Traffic Engineer | 1 1 2,3,4,6,7,8,9,10,15,16 2,3,4,6,8,9,10,15,16 2,3,4,6,7,8,9,10,15,16 2,3,4,6,7,8,9,10,15,16 2,3,4,5,7,8,9,10,15,16 2,3,4,6,7,8,9,10,15,16 2,3,4,10,11,15,16 2,3,4,10,11,15,16 2,3,4,9,10,11,15,16 3,4,6,8,9,10,11,16 2,3,4,6,7,8,9,10,15,16 2,3,4,6,7,8,9,10,15,16 |

| | | |
|------------------------|---|--------------------------|
| Boards and Commissions | Members of the Planning Commission * | |
| | Members of the Recreation Commission | 2,3,4,6,7,8,9,10,16 |
| | Members of the Site Plan and Architectural Review Committee | 2,3,4,6,7,8,9,10,16 |
| | Members of the Library Board of Trustees | 2,3,4,6,7,8,9,10,16 |
| | Members of the Gang Alternative Project [GAP] | 2,3,4,5,10,11 |
| | Members of the Lodi Eastside Improvement Committee | 2,3,4,5,10,11 |
| | Members of the Lodi Arts Commission | 2,3,4,5,7,8,9,10,16 |
| Consultants | | 1 |

* Exempted from Political Reform Act of 1974, but required to file a statement of economic interests pursuant to Government Code section 87200.

Designated Employees are those positions within the city who may exercise independent judgment and make or participate in the making of governmental decisions which may foreseeably have a material effect on any financial interest.

Consultant means an individual who, pursuant to a contract with a state or local governmental agency:

- A. Makes governmental decisions whether to
 - 1. approve a rate, rule or regulation;
 - 2. adopt or enforce a law;
 - 3. issue, deny, suspend, or revoke any permit, license, application, certificate, approval, order or similar authorization or entitlement;
 - 4. authorize the agency to enter into, modify, or renew a contract provided it is the type of contract which requires agency approval;
 - 5. grant agency approval to a contract which requires agency approval and in which the agency is a party or to the specifications for such a contract;
 - 6. grant agency approval to a plan, design, report, study or similar item;
 - 7. adopt, or grant agency approval of policies, standards, or guidelines for the agency, or for any subdivision thereof; or
- B. Serves in a staff capacity with the agency and in that capacity performs the same or substantially the same duties for the agency that would otherwise be performed by an individual holding a position specified in the agencies Conflict of Interest Code.

The City Manager or his designee may determine in writing that a particular consultant, although a "designated position" is hired to perform a range of duties that are limited in scope and thus is not required to fully comply with the disclosure requirements described in this section. Such written determination shall include a description of the consultant's duties and, based upon the description, a statement of the extent of the disclosure requirements. The City

Manager or his designee's determination is a public record and shall be retained for public inspection in the same manner and location as this Conflict of Interest Code.

Public Officials Specified in Government Code Section 87200: The following positions shall file a statement of economic interests pursuant to Government Code section 87200:

1. Members of the Lodi City Council
2. City Manager
3. City Attorney
4. Finance Director
5. Members of the Lodi Planning Commission

APPENDIX B

APPENDIX OF DISCLOSURE CATEGORIES

DEFINITION

'Unit" as used in this text means the particular department, board, commission, office or other entity using the disclosure category.

DISCLOSURE CATEGORIES

1. All investments and business positions in business entities, sources of income and interests in real property.
2. Investments and business positions in business entities, and all sources of income.
3. Interests in real property.
4. Investments and business positions in business entities and sources of income from entities providing supplies, services, equipment or machinery of the type used by the designated employee's unit.
5. Investments and business positions in and income from entities which are book outlets, vendors or providers of business services.
6. Investments and business positions in business entities and income from sources engaged in construction, building, or material supply.
7. Investment and business positions in and income from sources engaged in construction or development.
8. Investment and business positions in and income from sources engaged in the construction of public works projects.
9. Investment and business positions in and income from construction firms involved in construction projects subject to acceptance by the City Council.
10. Investment and business positions in and income from business entities of the type to provide bids, supplies, vehicles and equipment.
11. *Investment and business positions in and income from entities which provide training, services or facilities of the type utilized by the city.* |
12. Investments and business positions in business entities and sources of income which provide services and supplies of the type used in emergency services coordination and training activities.

13. Investments and business positions in and income from Union Pension funds that may be affected by the outcome of negotiations involving monetary settlements of employer-employee memorandums.
14. Investments and business positions in business entities and sources of income which provide medical services or facilities of the type used by the city.
15. Investments and business positions in and income from business entities supplying or manufacturing electronic equipment, supplies or services of the type utilized by the employee's unit.
16. Investments and business positions in and income from business entities providing supplies, services, equipment or machinery of the type used by the city.
17. Investments and business positions in and income from employment agencies or entities which provide employment or pre-employment services. Services include, but are not limited to, testing, training, consulting, recruiting, job classification studies and salary surveys.
18. Investments and business positions in and income from business entities which are of the type to provide any of the various types of employee insurance coverage and/or actuarial services.
19. Investments and business positions in business entities and income from sources which supply or manufacture firefighting or medical equipment or supplies.
20. All investments and business positions in business entities, sources of income, and interests in real property of the type which municipalities are permitted to invest under the California Government Code.



***Please immediately confirm receipt
of this fax by calling 333-6702***

CITY OF LODI
P. O. BOX 3006
LODI, CALIFORNIA 95241-1910

ADVERTISING INSTRUCTIONS

SUBJECT: NOTICE OF INTENTION TO AMEND THE CONFLICT OF INTEREST CODE OF THE CITY
OF LODI

LEGAL AD

PUBLISH DATE: SATURDAY, OCTOBER 19, 2002

TEAR SHEETS WANTED: Three (3) please

SEND AFFIDAVIT AND BILL TO: SUSAN BLACKSTON, CITY CLERK
City of Lodi
P.O. Box 3006
Lodi, CA 95241-1910

DATED: Thursday, October 17, 2002

ORDERED BY:

PATRICIA OCHOA
ADMINISTRATIVE CLERK

JACQUELINE L. TAYLOR
DEPUTY CITY CLERK

Jennifer M. Perrin
JENNIFER M. PERRIN
DEPUTY CITY CLERK

Verify Appearance of this Legal in the Newspaper – Copy to File

Faxed to the Sentinel at 369-1084 at 9:10am (time) on 10/17/02 (date) 2 (pages)
Kelsey 10/17/02 Phoned to confirm receipt of all pages at 9:45 (time) Jac Tricia JMP (initials)



**NOTICE OF INTENTION
TO AMEND THE CONFLICT OF INTEREST CODE
OF THE CITY OF LODI**

NOTICE IS HEREBY GIVEN that the City of Lodi, pursuant to the authority vested in it by section 87306 of the Government Code, proposes amendment to its Conflict of Interest Code. The purpose of these amendments is to implement the requirements of section 87300 through 87302, and section 87306 of the Government Code.

The City of Lodi proposes to amend its Conflict of Interest Code to include positions that involve the making or participation in the making of decisions that may foreseeably have a material effect on any financial interest, as set forth in subdivision (a) of section 87302 of the Government Code. Specifically, the proposed amendment will add positions that are newly created by the agency, delete positions that are no longer used by the agency, and clarify cumbersome language in disclosure category 2.

The amendment makes other technical changes to reflect the current organizational structure of the agency. Copies of the amended code are available and may be requested from the contact person set forth below.

At this time, no public hearing has been scheduled concerning the proposed amendments. If any interested person or the person's representative requests a public hearing, he or she must do so no later than November 15, 2002, by contacting the contact person set forth below.

Copies of the proposed amendments and the information on which the amendments are based may be obtained by contacting the contact person below.

Contact Person: **Susan J. Blackston, City Clerk**
 P.O. Box 3006
 Lodi, CA 95241-1910
 (209) 333-6702
 cityclrk@lodi.gov



DECLARATION OF MAILING

NOTICE OF INTENTION TO AMEND THE CONFLICT OF INTEREST CODE OF THE CITY OF LODI

On October 17, 2002 in the City of Lodi, San Joaquin County, California, I deposited in the United States mail, envelopes with first-class postage prepaid thereon, containing a Notice of Intention to amend the Conflict of Interest Code of the City of Lodi, marked Exhibit "A"; said envelopes were addressed as is more particularly shown on Exhibit "B" attached hereto.

There is a regular daily communication by mail between the City of Lodi, California, and the places to which said envelopes were addressed.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on October 17, 2002, 2002, at Lodi, California.

ORDERED BY:

**SUSAN BLACKSTON
CITY CLERK, CITY OF LODI**

ORDERED BY:

JACQUELINE L. TAYLOR
DEPUTY CITY CLERK

JENNIFER M. PERRIN
DEPUTY CITY CLERK

PATRICIA OCHOA
ADMINISTRATIVE CLERK

EXHIBIT A



NOTICE OF INTENTION TO AMEND THE CONFLICT OF INTEREST CODE OF THE CITY OF LODI

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 P.O. Box 3006
 Lodi, CA 95241-1910
 (209) 333-6702
 cityclrk@lodi.gov

RESOLUTION NO. ~~2000~~2002-200__

A RESOLUTION OF THE LODI CITY COUNCIL REPEALING
RESOLUTION NO. ~~98-138~~2000-200 THEREBY AMENDING
CITY OF LODI CONFLICT OF INTEREST CODE

The Political Reform Act of 1974 (Government Code section 81000, et seq.) requires state and local government agencies to adopt and promulgate conflict of interest codes. The Fair Political Practices Commission has adopted a regulation (2 Cal. Code of Regs. 18730) which contains the terms of a standard conflict of interest code. After public notice and a hearing it may be amended by the Fair Political Practices Commission to conform to amendments to the Political Reform Act. Therefore, the terms of 2 California Code of Regulations 18730 and any amendments to it duly adopted by the Fair Political Practices Commission are hereby incorporated by reference. This regulation and the attached appendices designating officials and employees and establishing disclosure categories, shall constitute the conflict of interest code of the City of Lodi.

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3. Persons holding designated positions shall file statements of economic interest pursuant to the provisions of this code.
4. All designated officials and employees shall file their statements of economic interests with the City Clerk of the City of Lodi to whom the City Council hereby delegates the authority to carry out the duties of filing officer.
5. Failure to file the required statement in a timely fashion may result in the imposition of administrative, criminal and civil sanctions as provided in Government Code sections 81000-91014.
6. The effective date of this Resolution shall be ~~November 15, 2000~~November 20, 2002.

Dated: ~~November 15, 2000~~November 20, 2002

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AYES: COUNCIL MEMBERS – ~~Hitchcock, Land, Nakanishi, Pennino and Mayor~~
Mann

NOES: COUNCIL MEMBERS – None

ABSENT: COUNCIL MEMBERS – None

ABSTAIN: COUNCIL MEMBERS – None

Susan J. Blackston
City Clerk

APPENDIX A
DESIGNATED OFFICIALS AND EMPLOYEES

The following is a listing of those persons who are required to submit Statements of Economic Interests pursuant to the Political Reform Act of 1974, as amended:

List of designated positions required to file Form 730700:

| <u>Department:</u> | <u>Position:</u> | <u>Disclosure Category:</u> |
|---------------------------|--|------------------------------------|
| City Manager | City Manager | * |
| | Deputy City Manager | 1 |
| | Management Analyst | 1 |
| | Admin. Assistant to City Manager | 1 |
| | Economic Development Coordinator | 1 |
| | Information Systems Manager | 1 |
| | Information Systems Coordinator | 2,3,4,5,8,10,11,15,16 |
| | Data Processing Manager | 2,4,10,11,15,16 |
| | Network Administrator | 15,16 |
| City Attorney | City Attorney | * |
| | Deputy City Attorney | 1 |
| City Clerk | City Clerk | 1 |
| | Deputy City Clerk | 1 |
| Community Center | Community Center Director | 1 |
| | Senior Services Coordinator | 2,3,4,10,11,16 |
| | Arts Coordinator | 2,3,4,10,11,16 |
| Community Development | Community Development Director | 1 |
| | <u>City Planner</u> | <u>1</u> |
| | Senior Planner | 1 |
| | Junior/Assistant/Associate Planner | 1 |
| | Community Improvement Manager | 1 |
| | Chief Building Inspector <u>Building Official</u> | 1 |
| | Building Inspector III <u>Senior</u> | 1 |
| | Community Improvement Officer | 2,3,4,6,10,16 |
| Electric Utility | Electric Utility Director | 1 |
| | Senior Electric Utility Rate Analyst | 1 |
| | Manager, Customer Programs | 1 |
| | Manager, Electric Services | 1 |
| | Manager, Business Planning & Marketing | 1 |
| | Manager, Engineering & Operations | 1 |
| | Electric Utility Superintendent | 2,3,4,6,7,8,9,10,15,16 |
| | Technical Services Manager | 2,3,4,6,7,8,9,10,15,16 |
| | Manager, Rates & Resources | 1 |
| | <u>Manager, Power & Rates</u> | <u>1</u> |

| | | |
|----------------------|--|---|
| Finance | Finance Director Accounting Manager Accountant III Purchasing Officer Revenue Manager Senior Storekeeper\Buyer Buyer <u>Purchasing Technician</u> | * 2,3,4,5,13,18 2,3,4,5,15 2,3,4,5,6,10,11,12,15,16,19 19 20 2,3,4,5,13,18 2,3,10,12,16 4,5,15,16 |
| Fire | Fire Chief Fire Division Chief \ Operations Fire Division Chief \ Training Fire Battalion Chief Fire Marshall Fire Inspector | 1 2,3,4,6,10,11,12,14,19 2,3,4,6,10,11,12,14,19 2,3,4,6,10,11,12,14,19 1 1 |
| Human Resources | Human Resources Director Senior Human Resources Analyst Management Analyst Trainee | 1 2,4,14,17,18 2,4,14,17,18 |
| Library | Library Services Director <u>Supervising Librarian</u> | 1 4,5,11,15 |
| Parks and Recreation | Parks and Recreation Director Parks Superintendent Project Coordinator <u>Management Analyst Trainee</u> | 1 1 2,3,4,6,7,8,10,16 4,5,10,11,15 |
| Police | Police Chief Police Captain <u>Management Analyst</u> | 1 1 4,5,12 |
| Public Works | Public Works Director City Engineer Senior Civil Engineer Fleet & Facilities Manager Street Superintendent Streets Contracts Administrator Water\Wastewater Superintendent Assistant Water\Wastewater Superintendent Superintendent Transportation Manager Fleet Services Supervisor Tree Operations Supervisor <u>Management Analyst</u> Senior Traffic Engineer | 1 1 2,3,4,6,7,8,9,10,15,16 2,3,4,6,8,9,10,15,16 2,3,4,6,7,8,9,10,15,16 2,3,4,6,7,8,9,10,15,16 2,3,4,5,7,8,9,10,15,16 2,3,4,6,7,8,9,10,15,16 2,3,4,10,11,15,16 2,3,4,10,11,15,16 2,3,4,9,10,11,15,16 3,4,6,8,9,10,11,16 2,3,4,6,7,8,9,10,15,16 2,3,4,6,7,8,9,10,15,16 |

| | | |
|------------------------|---|---------------------|
| Boards and Commissions | Members of the Planning Commission * | |
| | Members of the Recreation Commission | 2,3,4,6,7,8,9,10,16 |
| | Members of the Site Plan and Architectural Review Committee | 2,3,4,6,7,8,9,10,16 |
| | Members of the Library Board of Trustees | 2,3,4,6,7,8,9,10,16 |
| | Members of the Gang Alternative Project [GAP] | 2,3,4,5,10,11 |
| | Members of the Lodi Eastside Improvement Committee | 2,3,4,5,10,11 |
| | Members of the Lodi Arts Commission | 2,3,4,5,7,8,9,10,16 |
| Consultants | | 1 |

* Exempted from Political Reform Act of 1974, but required to file a statement of economic interests pursuant to Government Code section 87200.

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 - 7. adopt, or grant agency approval of policies, standards, or guidelines for the agency, or for any subdivision thereof; or
- B. Serves in a staff capacity with the agency and in that capacity performs the same or substantially the same duties for the agency that would otherwise be performed by an individual holding a position specified in the agencies Conflict of Interest Code.

The City Manager or his designee may determine in writing that a particular consultant, although a "designated position" is hired to perform a range of duties that are limited in scope and thus is not required to fully comply with the disclosure requirements described in this section. Such written determination shall include a description of the consultant's duties and, based upon the description, a statement of the extent of the disclosure requirements. The City

Manager or his designee's determination is a public record and shall be retained for public inspection in the same manner and location as this Conflict of Interest Code.

Public Officials Specified in Government Code Section 87200: The following positions shall file a statement of economic interests pursuant to Government Code section 87200:

1. Members of the Lodi City Council
2. City Manager
3. City Attorney
4. Finance Director
5. Members of the Lodi Planning Commission

APPENDIX B

APPENDIX OF DISCLOSURE CATEGORIES

DEFINITION

'Unit" as used in this text means the particular department, board, commission, office or other entity using the disclosure category.

DISCLOSURE CATEGORIES

1. All investments and business positions in business entities, sources of income and interests in real property.
2. Investments and business positions in business entities, and all sources of income.
3. Interests in real property.
4. Investments and business positions in business entities and sources of income from entities providing supplies, services, equipment or machinery of the type used by the designated employee's unit.
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6. Investments and business positions in business entities and income from sources engaged in construction, building, or material supply.
7. Investment and business positions in and income from sources engaged in construction or development.
8. Investment and business positions in and income from sources engaged in the construction of public works projects.
9. Investment and business positions in and income from construction firms involved in construction projects subject to acceptance by the City Council.
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14. Investments and business positions in business entities and sources of income which provide medical services or facilities of the type used by the city.
15. Investments and business positions in and income from business entities supplying or manufacturing electronic equipment, supplies or services of the type utilized by the employee's unit.
16. Investments and business positions in and income from business entities providing supplies, services, equipment or machinery of the type used by the city.
17. Investments and business positions in and income from employment agencies or entities which provide employment or pre-employment services. Services include, but are not limited to, testing, training, consulting, recruiting, job classification studies and salary surveys.
18. Investments and business positions in and income from business entities which are of the type to provide any of the various types of employee insurance coverage and/or actuarial services.
19. Investments and business positions in business entities and income from sources which supply or manufacture firefighting or medical equipment or supplies.
20. All investments and business positions in business entities, sources of income, and interests in real property of the type which municipalities are permitted to invest under the California Government Code.

EXHIBIT B

H. Dixon Flynn
City Manager/Member RDA
Administration

Janet Keeter
Deputy City Manager
Administration

Janet Hamilton
Management Analyst
Administration

Cynthia Haynes
Community Promotions Coordinator
Administration

Tony Goehring
Economic Development
Coordinator
Administration

Steve Mann
ISD Manager
Administration

Mark White
ISD Coordinator
Administration

Roy Todd
DP Manager
Administration

Jerry Moore
Network Administrator
Administration

Randall A. Hays
City Attorney/Member RDA
Administration

Steve Schwabauer
Deputy City Attorney
Administration

Susan J. Blackston
City Clerk/Member RDA
Administration

Jennifer M. Perrin
Deputy City Clerk
Administration

Jacqueline L. Taylor
Deputy City Clerk
Administration

Charlene Lange
Community Center Director
Community Center

Ann Arieda-Hintz
Senior Services Coordinator
Community Center

Tea Silvestre
Arts Coordinator
Community Center

Rad Bartlam
Community Development
Director/Member RDA
Community Development

J.D. Hightower
City Planner
Community Development

David Morimoto
Senior Planner
Community Development

Mark Meissner
Associate Planner
Community Development

Eric Veerkamp
Associate Planner
Community Development

Joseph Wood
Community Improvement Manager
Community Development

Jerry Herzick
Building Official
Community Development

Phillip Schrock
Senior Building Inspector
Community Development

Alan Lucero
Building Inspector
Community Development

Jim Siemers
Building Inspector
Community Development

John Flanagan
Building Inspector
Community Development

Robert Holdsworth
Community Improvement Officer
Community Development

Nancy Baker
Community Improvement Officer
Community Development

Alan Vallow
Electric Utility Director
Electric Utility

Sondra Huff
Senior Utility Rate Analyst
Electric Utility

Rob Lechner
Manager, Customer Programs
Electric Utility

Mel Grandi
Electric Services Manager
Electric Utility

VACANT
Manager, Business Planning &
Marketing
Electric Utility

Hans Hansen
Manager, Engineering & Operations
Electric Utility

Carl Lindstrom
Electric Utility Superintendent
Electric Utility

VACANT
Technical Services Manager
Electric Utility

VACANT
Manager, Rates & Resources
Electric Utility

VACANT
Manager, Power & Rates
Electric Utility

Vicky McAthie
Finance Director/Member RDA
Finance

Ruby Paiste
Accounting Manager
Finance

Cory Wadlow
Accountant II
Finance

Odette Bondoc
Accountant I
Finance

Joel Harris
Purchasing Officer
Finance

Maxine Cadwallader
Revenue Manager
Finance

Randy Lipelt
Senior Storekeeper/Buyer
Finance

Geri Fiore
Buyer
Finance

Sherry Allen
Purchasing Technician
Finance

Michael Pretz
Fire Chief
Fire

Steve Raddigan
Fire Division Chief
Fire

Verne Person
Fire Division Chief/Marshal
Fire

Kevin Donnelly
Fire Battalion Chief
Fire

James Inman
Fire Battalion Chief
Fire

George Juelch
Fire Battalion Chief
Fire

Jeff Larson
Fire Battalion Chief
Fire

Jerri Christiano
Fire Inspector
Fire

Joanne Narloch
Human Resources Director
Human Resources

Kirk Evans
Senior Human Resources Analyst
Human Resources

Amy Flores
Management Analyst Trainee
Human Resources

Nancy Martinez
Library Services Director
Library

Andrea Woodruff
Supervising Librarian
Library

Roger Baltz
Parks and Recreation Director
Parks and Recreation

Steve Dutra
Parks Superintendent
Parks and Recreation

Steve Virrey
Projects Coordinator
Parks and Recreation

Susan Bjork
Management Analyst Trainee
Parks and Recreation

Jerry Adams
Police Chief
Police

Larry Manetti
Police Captain
Police

David Main
Police Captain
Police

Betsy Gandy
Management Analyst
Police

Richard Prima
Public Works Director
Public Works

Wally Sandelin
City Engineer
Public Works

Wesley Fujitani
Senior Civil Engineer
Public Works

Sharon Welch
Senior Civil Engineer
Public Works

Dennis Callahan
Fleet & Facilities Manager
Public Works

George Bradley
Street Superintendent
Public Works

Curt Juran
Street Contracts Administrator
Public Works

Fran Forkas
Water/Wastewater Superintendent
Public Works

Frank Beeler
Assistant Water/Wastewater
Superintendent
Public Works

Tiffani Fink
Transportation Manager
Public Works

Roger Rich
Fleet Services Supervisor
Public Works

Ray Fye
Tree Operations Supervisor
Public Works

Rebecca Areida
Management Analyst
Public Works

Paula Fernandez
Senior Traffic Engineer
Public Works

~~Phil Perrino
Mayor/Member RDA~~

~~Alan Nakanishi
Council Member/Member RDA~~

~~Susan Hitchcock
Mayor Pro Tempore/Member RDA~~

~~Emily Howard
Council Member/Member RDA~~

~~Keith Land
Council Member/Member RDA~~

William Crabtree
Lodi Arts Commission
1540 W. Lodi Avenue
Lodi, CA 95240

Ann Falos
Lodi Arts Commission
1106 Lake Home
Lodi, CA 95242

Cynthia Inman
Lodi Arts Commission
2331 Yosemite Drive
Lodi, CA 95242

Patrick Stockar
Lodi Arts Commission
4920 E. Hogan Lane
Lodi, CA 95240

Mark Gisler
East Side Improvement Committee
400 E. Pine Street
Lodi, CA 95240

Allan Levy
East Side Improvement Committee
8855 E. Kettleman Lane
Lodi, CA 95240

Joseph Spinelli
East Side Improvement Committee
1989 Carmel Circle
Lodi, CA 95242

Millard Fore
Library Board of Trustees
2447 MacArthur Parkway
Lodi, CA 95242

Marjorie Paulsen
Library Board of Trustees
19517 Meadow View Drive
Woodbridge, CA 95258

Steven Crabtree
Planning Commission
1037 Vienna Drive
Lodi, CA 95242

Tim Mattheis
Planning Commission
728 Howard Street
Lodi, CA 95242

James Harvey
Lodi Arts Commission
417 Palm Avenue
Lodi, CA 95240

Donna Jean Phillips
Lodi Arts Commission
1040 Laurel Avenue
Lodi, CA 95242

Edie Van Noy
Lodi Arts Commission
1141 Port Chelsea Circle
Lodi, CA 95240

Ruth Jimenez
East Side Improvement Committee
835 W. Harney Lane #91
Lodi, CA 95240

Rosie Ortiz
East Side Improvement Committee
432 Maple Street
Lodi, CA 95240

Sunil Yadav
East Side Improvement
Committee/PAC
1050 S. Cherokee Lane
Lodi, CA 95240

Thomas Goad, Sr.
Library Board of Trustees
1434 Iris Drive
Lodi, CA 95242

Juan Villarreal
Library Board of Trustees
2633 Tejon Street
Lodi, CA 95242

Dennis Haugan
Planning Commission
1132 Port Chelsea Circle
Lodi, CA 95240

David Phillips
Planning Commission
220 S. Fairmont Avenue
Lodi, CA 95240

Laura Heinitz
Lodi Arts Commission
318 W. Pine Street
Lodi, CA 95240

Sherri Smith
Lodi Arts Commission
2505 W. Turner Road
Lodi, CA 95242

Jennifer Walth
Lodi Arts Commission
420 W. Locust Street
Lodi, CA 95240

Ann Larson
East Side Improvement
Committee/PAC
1321 S. Washington Street
Lodi, CA 95240

Virginia Snyder
East Side Improvement
Committee/PAC
621 E. Lockeford Street #11
Lodi, CA 95240

Charlie Swimley
Senior Civil Engineer
Public Works

Christine Lavond
Library Board of Trustees
3138 E. Forest Lake Road
Acampo, CA 95220

John Beckman
Planning Commission
1022 Downing Drive
Lodi, CA 95242

Randy Heinitz
Planning Commission
318 W. Pine Street
Lodi, CA 95240

Dennis White
Planning Commission
445 Almond Drive #25
Lodi, CA 95240

Scott Davis
Parks and Recreation Commission
809 Laver Court
Lodi, CA 95242

Bob Johnson
Parks and Recreation Commission
1311 Midvale Road
Lodi, CA 95240

Ken Sasaki
Parks and Recreation Commission
20033 N. Kennefick
Acampo, CA 95220

Victor Schuh
Parks and Recreation Commission
1231 Heidelberg Way
Lodi, CA 95242

Ed Wall, Jr.
Parks and Recreation Commission
19395 Rosewood Way
Woodbridge, CA 95258

Donald Kundert
SPARC
P.O. Box 70
Lodi, CA 95241

Keith Selleseth
SPARC
2350 St. Anton Drive
Lodi, CA 95242

Roger Stafford
SPARC
801 S. Mills Avenue
Lodi, CA 95242

Howard Vogel
SPARC
926 Sylvia Drive
Lodi, CA 95240

~~Eduardo Aguirre
Project Area Committee
1 W. Pine Street
Lodi, CA 95240~~

~~Chuck Easterling
Project Area Committee
2301 Cabrillo Circle
Lodi, CA 95242~~

~~Beth Griffin Latta
Project Area Committee
2809 Applewood Drive
Lodi, CA 95242~~

~~Laura Mayate-DeAndreis
Project Area Committee
17 S. Washington Street
Lodi, CA 95240~~

~~Deane Savage
Project Area Committee
316 E. Elm Street
Lodi, CA 95240~~

~~Connie Jauregui
Project Area Committee
533 E. Elm Street
Lodi, CA 95240~~



DECLARATION OF POSTING

NOTICE OF INTENTION TO AMEND THE CONFLICT OF INTEREST CODE OF THE CITY OF LODI

On Thursday, October 17, 2002 in the City of Lodi, San Joaquin County, California, a copy of the Notice of Intention to amend the Conflict of Interest Code of the City of Lodi (attached hereto, marked Exhibit "A") was posted at the following four locations:

Lodi Public Library
Lodi City Clerk's Office
Lodi City Hall Lobby
Lodi Carnegie Forum

I declare under penalty of perjury that the foregoing is true and correct.

Executed on October 17, 2002 at Lodi, California.

ORDERED BY:

**SUSAN J. BLACKSTON
CITY CLERK**

Jacqueline L. Taylor
Deputy City Clerk

Patricia Ochoa
Administrative Clerk

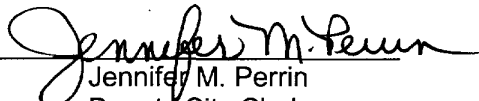

Jennifer M. Perrin
Deputy City Clerk

EXHIBIT A



NOTICE OF INTENTION TO AMEND THE CONFLICT OF INTEREST CODE OF THE CITY OF LODI

NOTICE IS HEREBY GIVEN that the City of Lodi, pursuant to the authority vested in it by section 87306 of the Government Code, proposes amendment to its Conflict of Interest Code. The purpose of these amendments is to implement the requirements of section 87300 through 87302, and section 87306 of the Government Code.

The City of Lodi proposes to amend its Conflict of Interest Code to include positions that involve the making or participation in the making of decisions that may foreseeably have a material effect on any financial interest, as set forth in subdivision (a) of section 87302 of the Government Code. Specifically, the proposed amendment will add positions that are newly created by the agency, delete positions that are no longer used by the agency, and clarify cumbersome language in disclosure category 2.

The amendment makes other technical changes to reflect the current organizational structure of the agency. Copies of the amended code are available and may be requested from the contact person set forth below.

At this time, no public hearing has been scheduled concerning the proposed amendments. If any interested person or the person's representative requests a public hearing, he or she must do so no later than November 15, 2002, by contacting the contact person set forth below.

Copies of the proposed amendments and the information on which the amendments are based may be obtained by contacting the contact person below.

Contact Person: **Susan J. Blackston, City Clerk**
 P.O. Box 3006
 Lodi, CA 95241-1910
 (209) 333-6702
 cityclrk@lodi.gov